



## ROC GRANT REQUIREMENTS

Applying for a Rapid Ocean Conservation (ROC) grant is an easy process. Here you will be able to find the answers to many of the questions you may have about the program along with the supporting documents required. Submitting a proposal to the review committee for funding consideration. Should you not find what you're looking for and have additional questions, please feel free to [contact us](#) and a member of our staff will be happy to assist you.

### What We Look For

The Waitt Foundation is currently considering grant proposals for projects related to the Waitt Foundation mission of supporting sustainable fishing and marine protected areas (MPAs) on a global scale — we have no geographical restrictions.

### Who We Look For

We look for grantees who want to make a lasting difference and share our principles. We look for grantees willing to leverage their relationships to effect positive change. We also look for the following characteristics:

- Focus on results
- Thoughtful strategy
- Strong execution
- Dynamic leadership
- Collaborative environment
- Strategic alliances
- Continuous evaluation/improvement
- Mass communication savvy

All applicants will be treated without regard to age, sex, color, religion, race, national origin, citizenship, veteran or future military status, sexual orientation, gender identification, marital status, physical or mental disability, legal source of income or any other by law.

## Your Non-Profit Status

### U.S. Applicants

Organizations must have a U.S. tax identification number and be able to provide a current copy of their IRS 501(c)(3) determination letter. A charitable organization may apply through a fiscal sponsor if not currently registered as a 501(c)(3), however, documentation of the fiscal sponsor's non-profit status is required. Only organizations with federal non-profit agency tax status can receive financial support from the Waitt Foundation. During the application process, you will be asked to provide proof of your non-profit 501(c)(3) status – applications without this information will not be considered.

### International Applicants

Organizations outside the United States may apply for a grant. If your organization would like to apply for a grant and it does not have a U.S. non-profit status or U.S. fiscal sponsor, an affidavit or proof that the organization is the equivalent of a public charity under U.S. tax law is required. During the application process, you will be asked to provide proof and documentation of charitable status (examples: articles of incorporation, charter, certificate of registered charity). Applications without proof of charitable status will not be considered. During the review process, you may be asked to provide additional information such as bylaws of the organization and/or financial statements.

## Funding Parameters

Proposals for grants up to \$10,000 will be reviewed on a monthly rolling basis. Proposals up to \$15,000 will be considered, but granted highly infrequently. Due to the nature of this program and level of funding for our small grants initiative, the Waitt Foundation does not allow indirect costs/administrative fees as part of its award funding policy. There are no deadlines for submitting an application.

## Ineligible Categories

Generally, the Waitt Foundation does NOT fund the following categories:

- For-profit organizations
- Individuals
- Capital campaigns
- Endowments
- Conferences / Meetings
- Lobbying of any kind

## Application Process

After reviewing our website and becoming familiar with our areas of interest, your next step in the application process is to complete an online [application](#). The ROC Grants Committee will review your submission which occurs on a monthly rolling basis. All applications must be submitted via our website for consideration as we do not accept proposals by e-mail, mail, or fax.

### Required Documents

You must attach the following items to be considered for a ROC grant. Applications without this information will not be considered.

- Budget: Project specific. A sample format is provided below.
- Project Timeline: For the life of the project to be funded.
- U.S. Applicants: Proof of non-profit 501(c)(3) tax status as determined by the IRS.
- International Applicants: Proof of public charitable status equivalent under U.S. tax laws.

**Additional Documents**

Please feel free to attach additional supporting documentation related specifically to your project proposal.

**Application Deadline**

We accept applications on a rolling basis, so there is no deadline. Please note that the foundation staff may request additional documentation and information, phone conversations, or in-person meetings during the application review process.

**Budget Sample**

This budget sample is only a guideline. Please feel free to use a format that work best for you and your project.

Feedback

Organization Name				
Project Name				
Grant Period				
	Budget Year 1	Budget Year 2	Budget Year 3	Budget Total
<b>REVENUE / OTHER FUNDING:</b>				
TBD	\$ -	-	-	-
TBD	\$ -	-	-	-
TBD	\$ -	-	-	-
TBD	\$ -	-	-	-
<b>TOTAL REVENUE</b>	<b>\$ -</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>EXPENSES:</b>				
<b>Personnel:</b>				
Salaries	-	-	-	-
Employee Benefits & Payroll Taxes	-	-	-	-
<b>Subtotal Personnel</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Non-personnel:</b>				
Professional Fees	-	-	-	-
Interns	-	-	-	-
Travel	-	-	-	-
Meetings & Events	-	-	-	-
Grants & Pass-throughs	-	-	-	-
Subscriptions & Dues	-	-	-	-
Printing	-	-	-	-
Communications	-	-	-	-
Postage & Delivery	-	-	-	-
Information Technology	-	-	-	-
Equipment & Supplies	-	-	-	-
Media Services	-	-	-	-
Recordkeeping & Accounting	-	-	-	-
Rent & Occupancy	-	-	-	-
<b>Subtotal Non-personnel</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL EXPENSES</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>